FÖRM PTO-1390 (Modified) (REV. 12-2004) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/EP03/07158

INTERNATIONAL FILING DATE 4 July 2003

PRIORITY DATE CLAIMED 24 August 2002

265368US0XPCT

TITLE OF INVENTION

SEPARATOR-ELECTRODE UNIT FOR LITHIUM ION BATTERIES, PRODUCTION THEREOF AND USE IN LITHIUM **BATTERIES** APPLICANT(S) FOR DO/EO/US HENNIGE Volker et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: \bowtie This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. \times (9) and (24) indicated below. X The US has been elected (Article 31). 4 \boxtimes A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). a. 🗆 b. 🗵 has been communicated by the International Bureau. c. 🛘 is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. X a. 🛚 is attached hereto. b. □ has been previously submitted under 35 U.S.C. 154(d)(4). 7. \boxtimes Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. d. X have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9. \boxtimes An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409). \boxtimes 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 13. \boxtimes An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. Ø A FIRST preliminary amendment. 16. A SECOND or SUBSEQUENT preliminary amendment. 17. A substitute specification. 18. A power of attorney and/or change of address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 21. 22. П Express Mail Label No. \bowtie 23. Other items or information: PCT/IB/304/Application Data Sheet/PCT/IB/308 Notice of Priority/Drawings (3 sheets)/PTO-1449 Cited References (3)/Amended Sheets (pages 34, 35, 36, 37, and 38)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number.

Order the Paperwork Resolution Action 1995, no persons are required to respond to a conection of minimal							T			
U.S. APPLICATION NO (if known, see 5 CFR 1.5)				INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER			
101/2100/01/20					265368US0					
24. The following fees are submitted:							Applicant use	Office use		
☐ a) Basic national fee						00	\$ \$300.00			
⊠ b) Examination fee						00	\$ \$200.00			
C) Search fe	e	• • • • • • • • • • • • • • • • • • • •			\$500.	00	\$ \$400.00			
TOT	AL OF ABOVE	CALCULA	TIONS =		\$1000.		\$ \$900.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Extra Sheets	Number o	Number of each additional 50 or fraction thereof (round up to a whole							
- 100 =	/50 =		× S			0	\$ \$0.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).							\$			
CLAIMS	NUMBER F	ILED N	IUMBER EXTRA		RATE					
Total claims	30	- 20 =	10	x	\$50.0	0	\$ \$500.00			
Independent clair	ns 1	- 3=	0	×	\$200.0	0	\$ \$0.00			
MULTIPLE DEPE	ENDENT CLAIMS	(if applicable)		+	\$360.0	0	\$ \$0.00			
			L OF ABOVE C				\$ \$1,400.00			
☐ Applicant cla reduced by '		atus. See 37	CFR 1.27. The fees i	ndica	ted above ar	е	\$ \$0.00			
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	f \$130.00 for furnised priority date (3)		sh translation later th	an 30) months from	n	\$ \$0.00			
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +							\$ \$0.00			
TOTAL FEES ENCLOSED =							\$ \$1,400.00			
			Amou	nt to	be refund	ed:		\$		
Amount to be charged:						ed:		\$		
a. A check	in the amount of \$		to cover the a	bove	fees is enclo	sed.				
a. A check in the amount of \$ to cover the above fees is enclosed. b. Please charge my Deposit Account No in the amount of to cover the above fees. A duplicate copy of this sheet is										
c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:							hund la	Raa		
22850 SIGNATURE						URE	Aurice and the ord	y w		
Surinder Sachar Norman F.						n F.	. Oblon			
Registration No. 34,423 NAME										
24,618										
	REGISTRATION NUMBER									

Docket No.

265368US0XPCT

IN RE APPLICATION OF: Volker HENNIGE et al.

0/524665

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/07158

FILED:

HEREWITH

FOR:

SEPARATOR-ELECTRODE UNIT FOR LITHIUM-ION BATTERIES, METHOD FOR THE PRODUCTION

AND USE THEREOF IN LITHIUM BATTERIES

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

No additional fee is required

☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.

Additional documents filed herewith:

PCT Transmittal Letter/PCT/IB/304/English Translation of Specification/PCT/IB/308 Application Data Sheet/Notice of Priority/Declaration/Drawings (3 sheets)/PTO-1449 Information Disclosure Statement/Cited References (3)/International Search Report Translation of Annexes to the International Preliminary Examination Report

Amended Sheets (pages 34, 35, 36, 37 and 38) Credit Card Payment Form for \$1,400.00

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS		RATE		CALCULATIONS
TOTAL	30	MINUS	30	0	x	\$50	=	\$0.00
INDEPENDENT	1	MINUS	1	0	х	\$200	=	\$0.00
		☐ MULTIPLE DEPENDENT CLAIMS				\$360	=	\$0.00
TOTAL OF ABOVE CALCULATIONS						NS	\$0.00	
	☐ Reduction by 50% for filing by Small Entity						\$0.00	
		☐ Recordation of Assignment +				\$40	=	\$0.00
		TOTAL					`AL	\$0.00

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- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

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